



Brendan Dunphy



Brendan Dunphy
Director, Head of Business Risk
Management

Experience

- 23 years of investment industry experience
- Joined predecessor in 2005
- Member of the ClearBridge Risk, Brokerage, and GIPS Steering Committees
- Schroder Investment Management North America - Vice President - Senior Compliance Analyst
- The Falconwood Corp. - Supervisor of Proprietary Trading
- U.S. Securities and Exchange Commission - Compliance Examiner
- BS in Finance from Baruch College